1. Introduction

Objective
To provide a consistent standard of plant safety across all Morgan Sindall controlled projects.

This document is to be used in conjunction with project specific vehicle and plant management plans and is subject to on-going improvement as new technologies are developed. This document is also supported by SH9 STD4 Compact Plant Standard which gives further guidance regarding use of compact plant on Morgan Sindall controlled projects. Compact plant document covers the following items of plant; compaction rollers below 1000mm drum width, mini diggers below three tonne, dumpers below two tonne, skid steer loaders, and ride on mowers and All-Terrain Vehicles (ATV).

Minimum requirement
This section sets out the minimum requirements for the plant, tools and the operator / driver in order to achieve current best practice. Special consideration may need to be given for plant and equipment operating in London regarding latest emission engine requirements.

Desirable
This section lists requirements for plant and operators / drivers that may not apply to every situation, but is considered as applicable if possible.

Hazards / risks
Significant hazards / risks are identified in this section however the use of plant on site will be subject to a full documented risk assessment.

Legislation

<table>
<thead>
<tr>
<th>Document Reference</th>
<th>Process Parent</th>
<th>Revision Status</th>
<th>Document Owner</th>
<th>Date</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>PET STD1</td>
<td>PET PRO</td>
<td>Rev 4</td>
<td>Jonathan Hall</td>
<td>Sep 15</td>
<td>1 of 32</td>
</tr>
</tbody>
</table>
Management System

Plant and equipment minimum requirements

There are references to legislation throughout the document however it is not intended to list all legislative requirements relating to plant operations. For further information please refer to the following applicable legislation (note this is not exhaustive):

- Provision and Use of Work Equipment Regulations (PUWER)
- Lifting Operations and Lifting Equipment Regulations (LOLER)
- Work at height regulations
- Personal Protective Equipment (PPE) regulations
- Control Of Substances Hazardous to Health (COSHH) regulations
- Road vehicles (construction and use) regulations
- Construction plant and equipment (harmonisation of noise emission standards) regulations
- The control of noise at work regulations.

2. General plant

All non-operated plant must be inspected prior to first use and the appropriate pre-start check sheet completed and daily recorded inspections thereafter.

**Plant**

- Pre-use inspection signed off
- 12 month thorough examination (where applicable)
- On public highway – taxed, registered and insured
- Roll Over Protective Structure (ROPS) and Falling Objects Protective Structure (FOPS) to cab
- Seat belts must be fitted
- Mirrors for all-round visibility
- Flashing amber beacon
- Reversing alarm to be fitted working and audible at ten metre
- Evidence of regular inspection plus next service due date
- All safety labels (decals) in place
- 12 monthly thorough examination and six month thorough examination on lifting accessories and manual quick hitch
- Safe Working Load (SWL) to be clearly marked on certified lifting points
- A machine specific lifting duty sheet, lift plan and risk assessment
- An original copy of manufacturers operating instructions.

**Operator**

- Pre-use (daily) inspection signed off and to be available in the cab
- Seat belt must be worn
- Engine must be turned off and keys removed from the cab before leaving the cab if left unattended
- Full induction attended prior to starting work
- Full site-required PPE
- Compliance with pre-use, daily and weekly defect reporting system
- Competency assessment prior to being put to work – be familiar with machine
- Appropriate ‘ticket’ for machine being used
  - If quick hitch fitted:
    - Be briefed on safe use of quick hitches
    - Operator shall be trained in how to use specific quick hitch attachments
  - Daily inspection signed off
  - If used for lifting:
    - Lift plan to be briefed and understood
    - Operator shall be trained in how to use excavator as a crane and specific lifting attachments.

**Hazards / risks**

Significant hazards / risks identified when operating the machine and for those adjacent to machine: The following should be considered and briefed as appropriate:

- Access into cab / refuelling and maintenance
- Danger of crushing in slew zone

<table>
<thead>
<tr>
<th>Document Reference</th>
<th>Process Parent</th>
<th>Revision Status</th>
<th>Document Owner</th>
<th>Date</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>PET STD1</td>
<td>PET PRO</td>
<td>Rev 4</td>
<td>Jonathan Hall</td>
<td>Sep 15</td>
<td>2 of 32</td>
</tr>
</tbody>
</table>
Plant and equipment minimum requirements

- Overturning if on uneven ground or lifting duties exceeded
- Use of accessories and how they are attached / inspected such as breaking hammer
- Clothes can get snagged on controls
- Plant and personnel in area of work
- Overhead obstructions – cables / bridges
- Public interface – working alongside pedestrians / vehicles
- Underground services
- Limitations to all round visibility
- Transportation including loading / unloading
- Hazardous substances such as fuels, oils and greases
- For use on public highway must be taxed and registered
- Evidence of regular inspection plus next service due date
- Forward collision avoidance system (proximity sensor or forward facing camera or similar approved) to ensure one metre high at one metre distance visibility criteria on dumpers of seven tonne and above other devises by job risk assessment
- Reversing alarm to be fitted working and audible
- All safety decals in place
- Operating maintenance instructions
- Engine must be turned off and keys removed before leaving vehicle unattended
- Seat belts and ROPS not used
- Untrained operator
- Insufficient pedestrian / vehicle segregation
- Incorrect vehicle selection
- No banks man / untrained banks man
- Not following manufacturers operating instructions
- Lack of edge protection where vehicles are close to open excavations
- Reversing blind spots.

3. Tools

General

All tools (petrol / diesel / air) must be inspected prior to first use and the appropriate pre-start check completed and daily inspections thereafter.
- Pre-use visual inspections must be completed
- Evidence of regular inspection plus next service due date
- All safety labels (decals) in place
- An original copy of manufacturers operating instructions / safety instructions
- Cables and connection fitments to be inspected prior to use.

Operator

- Pre-use (daily) inspection signed off and to be available
- Operator understanding of stop start procedures
- Full induction attended prior to starting work
- Full site-required PPE and or task specific
- Compliance with pre-use, daily and weekly defect reporting system
- Competency assessment prior to being put to work – be familiar with machine
- Appropriate training and “ticket” if required i.e. chainsaw.

Hazards / risks

- Access for refueling and maintenance
- Use of accessories and how they are attached / inspected such as blades
- Correct procedure for fitting blades i.e. stihl saw
- Guards fitted and being used correctly
- Clothes can get snagged / trapped in controls or guards
- Transportation including loading / unloading
Management System

Plant and equipment minimum requirements

- Hazardous substances, such as fuels, oils and greases
- Other work activities in the same area
- Emissions from material or work being undertaken
- Sparks
- Lack of appropriate PPE
- Lack of training / supervision
- Noise
- Hand Arm Vibration’s (HAV’s)
- Dust.

4. Towable equipment

General / operator pre-use checks
- Pre-use / daily inspection signed off
- 12 weekly inspection
- Evidence of regular inspection plus next service due date
- All safety labels (decals) in place
- All lighting towers must come supplied complete with mast deployment alarm and safety system
- Copy of manufacturers operating instructions / safety instructions
- Checks on wheel nuts and wheel nut indicators
- Wheel bearings where possible
- Tyre depth and condition
- Whip checks to be provided between hose and compressor and hose and tools
- All towable compressors or air systems must come supplied road legal and fitted with integral fuel bunding for environmental protection
- Drawbar assembly breaking systems and towing eye
- Jockey leg / wheel
- Breakaway cable
- Lights
- Floor access and access ramp
- Equipment securing devices.

Hazards / risks
- Access for moving, loading and maintenance
- Use of accessories and how they are attached / inspected
- Transportation including loading / unloading
- Overloading on axles
- Other work activities in the same area
- Interface with the public
- Driver towing techniques
- Collision and towing at speeds
- Lack of training / supervision
- Incorrect securing of loads.

5. Excavator

General
All plant to be inspected prior to first use and the appropriate pre-start check sheet completed and daily recorded inspections thereafter. Inspection sheet to be available in the cab and ideally visually displayed.

5.1. 180° Excavator / loader (JCB3CX etc.) minimum requirement

Plant
- Pre-use inspection signed off (all hire companies to supply operator pre use inspection checklist Planned Preventative Maintenance (PPM))
Management System

Plant and equipment minimum requirements

- 12 month thorough examination
- On public highway – taxed, registered and insured
- ROPS and FOPS to cab
- Seat belts must be fitted
- Mirrors to satisfy one metre high at one metre distance visibility criteria
- Flashing amber beacon
- Brake efficiency testing to be carried out e.g. daily user park and service brake and dynamic brake efficiency test at maximum six monthly intervals
- Reversing alarm to be fitted working and audible at ten metre
- Tooth guards must be used at all times when on public highway
- Evidence of regular inspection plus next service due date
- All safety labels (decals) in place
- If wheeled duties use axle locks for other duties stabilisers must be deployed
- Isolation controls by a secondary device, such as seat rotation

  Unless demonstrated that it will not be used for lifting:
  - Six month thorough examination on lifting accessories
  - Certified lifting point required for all lifting duties
  - SWL to be clearly marked on certified lifting points
  - Audible or visual overload warning system fitted and operational
  - A machine specific lifting duty sheet, lift plan and risk assessment

- Check valves must be fitted to excavators boom and dipper circuits

  If quick hitch fitted:
  - Must be fully automatic double locking for machines over five tonnes (unless fixed as a direct attachment no quick hitch)
  - Have an in-cab warning alarm
  - Copy of manufacturers operating instructions
  - Pre-use Inspection signed off
  - If used under overhead cables or obstructions:
    - Height restrictors with indication on machine
    - Health and Safety Executive's (HSE's) GS6 avoidance of danger from overhead electric power lines' must be followed
  - Maximum age eight years
  - If used adjacent live lanes control measures must be put in place that in the event of operator error the machine will be prevented from striking passing traffic e.g. slew restrictors, banks man remote cut out.

Operator

- Pre-use (daily) inspection signed off and to be available in the cab
- Construction Plant Competence Scheme (CPCS) card – category A10 (less than five tonne) or A12 (more than five tonne)
- Cat B car license for road use
- Authorisation required prior to driving on public highway – site manager / contractor
- Do not break ground unless briefed on, have received and fully understood a permit to break ground
- Seat belt must be worn
- Engine must be turned off and keys removed from the cab before leaving the cab if left unattended
- Full induction attended prior to starting work
- Evidence of having signed on to the appropriate risk assessment for the task
- Full site-defined PPE required if outside cab none loose fitting PPE required in all cases to avoid catching on controls
- Compliance with pre-use, daily and weekly defect reporting system
- Competency assessment prior to being put to work – be familiar with machine

  If quick hitch fitted:
  - Be briefed on safe use of quick hitches
  - Operator shall be trained in how to use specific quick hitch attachments
  - Daily inspection signed off

  If used for lifting:

<table>
<thead>
<tr>
<th>Document Reference</th>
<th>Process Parent</th>
<th>Revision Status</th>
<th>Document Owner</th>
<th>Date</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>PET STD1</td>
<td>PET PRO</td>
<td>Rev 4</td>
<td>Jonathan Hall</td>
<td>Sep 15</td>
<td>5 of 32</td>
</tr>
</tbody>
</table>
Lift plan and/or permit to lift must be briefed and understood
Operator shall be trained in how to use excavator as a crane and specific lifting attachments.

Desirable
- Tracker unit, isolation method independent of factory fitted locks, locking caps / covers to fuel and all other tanks
- Complete people exclusion area around plant and operation
- When using a lifting eye, a swivel hook should be considered to ensure load can be maneuvered without risk of swinging back or overloading
- A list of weights of potential items to be lifted should be maintained (lift plan)
- System to prevent operation in reverse at speed by January 2016
- Operator to have passed drug and alcohol test on induction and subject to on-going medical screening / surveillance
- Seat belt operation interlocked with ignition switch / warning indicator
- Use of slew restrictors where appropriate
- Maximum machine age six years of age and compliant to Tier iii B or better engine emissions.

Hazards / risks
Significant hazards / risks identified when operating the machine and for those adjacent to machine:
- Access into cab / refuelling and maintenance
- Danger of crushing in slew zone
- Overturning if on uneven ground or lifting duties exceeded
- Use of accessories and how they are attached / inspected such as breaking hammer
- Effect of weather visibility and working / traffic surfaces
- Clothes can get snagged on controls prior to release of servo isolator / safety handle
- Plant and personnel interface
- Overhead obstructions – cables / bridges
- Public interface – working alongside pedestrians / vehicles
- Underground services
- Limitations to all round visibility
- Transportation including loading / unloading
- Hazardous substances such as fuels, oils and greases.

Note: All persons preparing risk assessments involving plant are encouraged to visit the proposed area of work and to review previous risk assessments undertaken for similar activities and safety alerts.

5.2. Mini excavator (up to and including machines eight tonne and below)

Minimum requirement plant
- Pre-use inspection signed off
- 12 month thorough examination
- Tip Over Protection Structure (TOPS) to cab as minimum and FOPS to cab by risk assessment
- Seat belts must be fitted
- Mirrors to satisfy one metre high at one metre distance visibility criteria
- Flashing amber beacon
- Evidence of regular inspection plus next service due date
- Operator instructions must be available on the machine
- Risk assessments to be completed to assess the largest / most stable machine possible to complete the task
- All safety labels (decals) in place
- Check valves must be fitted to excavators’ boom and dipper rams for machines of five tonnes and above.
- If used under overhead cables or obstructions:
  - Height restrictors with indication on machine
  - HSE’s GS6 avoidance of danger from overhead electric power lines must be followed

Unless demonstrated that it will not be used for lifting:
Plant and equipment minimum requirements

- Six month thorough examination on lifting accessories
- Certified lifting point required for all lifting duties
- SWL to be clearly marked on all certified lifting points
- Audible or visual overload warning system fitted and operational
- When using a lifting eye, a swivel hook must be used to ensure load can be maneuvered without risk of swinging back or overloading. Short sling chain to be employed to stop side load
- A machine specific lifting duty sheet, lift plan and risk assessment
  
  If quick hitch fitted:
  
  - Must be fully automatic double locking for machines over five tonnes (unless fixed as a direct attachment no quick hitch)
  - Under five tonnes a manual quick hitch or direct attachment (pins)
  - Have an in-cab warning alarm where quick hitch fitted
  - Extendable tracks for machines under three tonnes
  - All buckets supplied with machines must come without teeth unless requested
  - Copy of manufacturers operating instructions
  - Pre-use Inspection signed off
  
  - Maximum machine age five years
  
  If used adjacent live lanes control measures must be put in place that in the event of operator error the machine will be prevented from striking passing traffic e.g. slew restrictors, banks man remote cut out or track lock.

Operator

- Pre-use (daily) inspection signed off
- CPCS card category A58A (less than ten tonne)
- Full induction attended prior to starting work
- Evidence of having signed on to the appropriate risk assessment for the task
- Operator to ensure buckets are carried safely at all times
- If lifting operations are required to be undertaken the operator must be trained in how to use the excavator as a crane and in any specific lifting devices.
- Full site-defined PPE required if outside the cab
- Compliance with pre-use, daily and weekly defect reporting system
- Competency assessment prior to being put to work – be familiar with machine
- Do not break ground unless briefed on, received and fully understood a permit to break ground
- Seat belt must be worn
  
  If quick hitch fitted:
  
  - Be briefed on safe use of quick hitches
  - Operator shall be trained in how to use specific quick hitch attachments
  - Daily inspection signed off
  
  If used for lifting:
  
  - Lift plan and/or permit to lift must be briefed and understood
  - Operator shall be trained in how to use specific lifting attachments.

Desirable

- Tracker unit, isolation method independent of factory fitted locks, locking caps / covers to fuel and all other tanks
- Complete people exclusion area around plant and operation (Safety Shield option for collision avoidance)
- Reversing alarm to be fitted working and audible at ten metre in working conditions
- When used for lifting a list of weights of potential items to be lifted should be maintained
- With quick hitch, an in-cab warning alarm for detachment
- Operator to have passed drug and alcohol test on induction and subject to on-going medical screening / surveillance
- Seat belt operation interlocked with ignition switch / warning indicator
- Use of slew restrictors where appropriate
- Maximum machine age five years of age and compliant to Tier iii B or better engine emissions
- Reversing cameras on machines of eight thirteen tonnes and above from January 2016
Management System

Plant and equipment minimum requirements

- Engine must be turned off if approached by others and keys removed from the cab before leaving the cab unattended for any reason.

Hazards / risks
Significant hazards / risks identified when operating the machine and for those adjacent to machine:
- Access into cab / refuelling and maintenance
- Danger of crushing in slew zone
- Overturning if on uneven ground or lifting duties exceeded
- Use of accessories and how they are attached / inspected, such as breaking hammer
- Effect of weather visibility and working / traffic surfaces
- Clothes can get snagged on controls prior to release of servo isolator / safety handle
- Plant and personnel interface
- Overhead obstructions – cables / bridges
- Public interface – working alongside pedestrians / vehicles
- Underground services
- Limitations to all round visibility
- Transportation including loading / unloading
- Hazardous substances, such as fuels, oils and greases.

Note: All persons preparing risk assessments involving plant are encouraged to visit the proposed area of work and to review previous risk assessments undertaken for similar.

5.3. Tracked 360° excavator (all machines ten tonne and above)

Minimum requirement plant
- Pre-use inspection signed off (all hire companies to supply operator pre use inspection checklist PPM)
- 12 month thorough examination
- ROPS to cab and FOPS where required by risk assessment where the working environment requires.
- Seat belts must be fitted and worn
- Mirrors / to satisfy one metre high at one metre distance visibility criteria
- 360 degree all round visibility aids (camera system) to be fitted to all machines ten tonne and above
- Movement alarm fitted, working and audible at ten metres
- Flashing amber beacon
- Evidence of regular inspection plus next service due date
- Work at height protection to provide safe work environment when on the machine for refuelling and maintenance etc.
- Operator instructions must be available on the machine
- All safety labels (decals) in place
- Check valves must be fitted to excavators boom and dipper circuits
  Unless demonstrated that it will not be used for lifting:
  - Six month thorough examination on lifting accessories
  - Audible or visual overload warning system fitted and operational
  - Certified lifting point required for all lifting duties
  - SWL to be clearly marked on all lifting points
  - A machine specific lifting duty sheet, lift plan and risk assessment
  - If lift and carry duties to be undertaken specific risk assessment to be completed taking into account manufacturer’s instructions
  - Rear camera on excavators thirteen tonne and above
- If used adjacent live lanes control measures must be put in place that in the event of operator error the machine will be prevented from striking passing traffic e.g. slew restrictors, banks man or remote cut out or track lock
  If quick hitch fitted:
  - Must be fully automatic double locking for machines over five tonnes (unless direct attachment-no quick hitch)
  - Have an in-cab warning alarm

<table>
<thead>
<tr>
<th>Document Reference</th>
<th>Process Parent</th>
<th>Revision Status</th>
<th>Document Owner</th>
<th>Date</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>PET STD1</td>
<td>PET PRO</td>
<td>Rev 4</td>
<td>Jonathan Hall</td>
<td>Sep 15</td>
<td>8 of 32</td>
</tr>
</tbody>
</table>
Management System

Plant and equipment minimum requirements

- Copy of manufacturers operating instructions
- Pre-use inspection signed off
- If used under overhead cables or obstructions:
  - Height restrictors with indication on machine
  - HSE’s GS6 avoidance of danger from overhead electric power lines must be followed
- Maximum machine age five years.

Operator
- Pre-use (daily) inspection signed off and to be available in the cab
- CPC5 card – category A58a (less than ten tonne) or A59a (more than ten tonne)
- Operator to ensure buckets are carried safely at all times
- All hoses to be inspected regularly
- Evidence of having signed on to the appropriate risk assessment for the task
- Compliance with pre-use, daily and weekly defect reporting system
- Do not break ground unless briefed on, have received and fully understood a permit to break ground
- Seat belt must be worn
- Engine must be turned off if approached by others and keys removed from the cab before leaving the cab if left unattended
- Full induction attended prior to starting work
- Competency assessment prior to being put to work – be familiar with machine
- Full site-defined PPE required if outside cab none loose fitting PPE required in all cases to avoid catching on controls
  - If quick hitch fitted:
    - Operator shall be trained in how to use specific quick hitch attachments
    - Daily inspection signed off
  - If used for lifting:
    - Trained in how to use the excavator as a crane
    - Lift plan and/or permit to lift must be briefed and understood
    - Operator shall be trained in how to use specific lifting attachments.

Desirable
- Tracker unit, isolation method independent of factory fitted locks, locking caps / covers to fuel and all other tanks
- Complete people exclusion area around plant and operation
- Zero tail swing ballast / counterweight
- Quarry specification light to indicate when seat belt worn. Some machines have also been wired such that the safety lever will not engage until seatbelt is connected
- Handrails on body on thirteen tonne and above where access required
- Track direction indicator note
- When used for lifting a list of weights of potential items to be lifted should be maintained
- Operator subject to on-going medical screening / surveillance
- Seat belt operation interlocked with ignition switch / warning indicator
- Maximum machine age five years of age and compliant to Tier iii B or better engine emissions
- Use of slew restrictors where appropriate

Hazards / risks
Significant hazards / risks identified when operating the machine and for those adjacent to machine:
- Access into cab / refuelling and maintenance
- Danger of crushing in slew zone
- Overturning if lifting duties exceeded
- Use of accessories and how they are attached / inspected – such as breaking hammer
- Effect of weather visibility and working / traffic surfaces
- Controls can be caught inadvertently if near to safety lever
- Clothes can get snagged on controls prior to release of servo isolator / safety handle

<table>
<thead>
<tr>
<th>Document Reference</th>
<th>Process Parent</th>
<th>Revision Status</th>
<th>Document Owner</th>
<th>Date</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>PET STD1</td>
<td>PET PRO</td>
<td>Rev 4</td>
<td>Jonathan Hall</td>
<td>Sep 15</td>
<td>9</td>
</tr>
</tbody>
</table>
Plant and equipment minimum requirements

- Plant and personnel interface
- Overhead obstructions – cables / bridges
- Public interface – working alongside pedestrians / vehicles
- Underground services
- Limitations to all round visibility
- Transportation including loading / unloading
- Hazardous substances such as fuels, oils and greases.

5.4. Wheeled 360° excavator
   a) (all machines ten tonne and above)

Minimum requirement plant
- Pre-use inspection signed off (all hire companies to supply operator pre use inspection checklist PPM)
- 12 month thorough examination
- On public highway – taxed, registered and insured
- Seat belts must be fitted and worn
- ROPS to cab and FOPS where required by risk assessment where the working environment requires.
- Mirrors / to satisfy one metre high at one metre distance visibility criteria
  - 360 degree all round visibility aids (camera system) to be fitted to all machines ten tonne and above
- Brake efficiency testing to be carried out e.g. daily user park and service brake and dynamic brake efficiency test at maximum six monthly intervals
- Flashing amber beacon
- Evidence of regular inspection plus next service due date
- Work at height protection to provide safe work environment when on the machine for refuelling and maintenance etc.
- Operator instructions must be available on the machine
- All safety labels (decals) in place
- White noise movement alarm fitted, working and audible at ten metres
- System to prevent operation at speeds in reverse
- Check valves must be fitted to excavators boom and dipper circuits
- Maximum machine age eight years

Unless demonstrated that it will not be used for lifting:
- Six month thorough examination on lifting accessories
- All lifting points to be certified
- SWL to be clearly marked on all certified lifting points
- A machine specific lifting duty sheet, lift plan and risk assessment
  - If lift and carry duties to be undertaken specific risk assessment to be completed taking into account manufacturer’s instructions
  - Audible or visual overload warning system fitted and operational

If quick hitch fitted:
- Must be fully automatic double locking for machines over five tonnes (unless direct attachment-no quick hitch)
- Have an in-cab warning alarm
- Copy of manufacturers operating instructions
- Pre-use inspection signed off
- If used under overhead cables or obstructions:
  - Height restrictors with indication on machine
- HSE’s GS6 avoidance of danger from overhead electric power lines must be followed
- If used adjacent live lanes control measures must be put in place that in the event of operator error the machine will be prevented from striking passing traffic e.g. slew restrictors or banks man remote cut out.

Operator
- Pre-use (daily) inspection signed off and to be available in the cab
- CPCs card – category A58B (less than ten tonne) or A59B (more than ten tonne)
- Cat B car license for road use
Management System

Plant and equipment minimum requirements

- Authorisation required prior to driving on public highway
- Evidence of having signed on to the appropriate risk assessment for the task
- Do not break ground unless briefed on, have received and fully understood a permit to break ground
- Seat belt must be worn
- Engine must be turned off and keys removed from the cab before leaving the cab if left unattended
- Full induction attended prior to starting work
- Operator to ensure buckets are carried and secured safely when being transported
- Full site-defined PPE required if outside cab non-loose fitting PPE required in all cases to avoid catching on controls
- Compliance with pre-use, daily and weekly defect reporting system
- Competency assessment prior to being put to work - be familiar with machine
- If wheeled duties use axle locks, for other duties stabilisers must be deployed
  - Be briefed on safe use of quick hitches
  - Operator shall be trained in how to use specific quick hitch attachments
  - Daily inspection signed off
- If used for lifting:
  - Lift plan and/or permit to lift must be briefed and understood
  - Operator shall be trained in how to use the excavator as a crane
  - Operator shall be trained in how to use specific lifting attachments.

Desirable
- Tracker unit, isolation method independent of factory fitted locks, locking caps / covers to fuel and all other tanks
- Complete people exclusion area around plant and operation
- When using lifting eye, a swivel hook should be considered to ensure load can be manoeuvred without risk of swinging back or overloading
- Travel direction indicator
- Handrails on body thirteen tonne and above where access required
- When used for lifting a list of weights of potential items to be lifted should be maintained
- Operator to have passed drug and alcohol test on induction and subject to on-going medical screening / surveillance
- Maximum machine age five years of age and compliant to Tier iii B or better engine emissions
- Seat belt operation interlocked with ignition switch / warning indicator
- Use of slew restrictors where appropriate.

Hazards / risks
Significant hazards / risks identified when operating the machine and for those adjacent to machine:
- Access into cab / refueling and maintenance
- Danger of crushing in slew zone
- Overturning if lifting duties exceeded
- Use of accessories and how they are attached / inspected such as breaking hammer
- Effect of weather visibility and working / traffic surfaces
- Clothes can get snagged on controls prior to release of servo isolator / safety handle
- Operating in reversing mode
- Plant and personnel interface
- Overhead obstructions – cables / bridges
- Public interface – working alongside pedestrians / vehicles
- Underground services
- Limitations to all round visibility
- Transportation including loading / unloading
- Hazardous substances such as fuels, oils and greases.

6. Dumpers

<table>
<thead>
<tr>
<th>Document Reference</th>
<th>Process Parent</th>
<th>Revision Status</th>
<th>Document Owner</th>
<th>Date</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>PET STD1</td>
<td>PET PRO</td>
<td>Rev 4</td>
<td>Jonathan Hall</td>
<td>Sep 15</td>
<td>11 of 32</td>
</tr>
</tbody>
</table>
Management System

Plant and equipment minimum requirements

General
All plant to be inspected prior to first use and the appropriate pre-start check sheet completed and daily recorded inspections thereafter.

6.1. Forward and side tipping dumper

Minimum requirement

Plant
- Selection of dumpers one tonne and below or six tonne or above must have a specific risk assessment prior to work to determine suitability for task. This must take account of the inclines and speeds at which they are to be used
- For use on public highway must be taxed and registered
- Pre-use inspection signed off
- Evidence of regular inspection plus next service due date
- ROPS
- Seat belts must be fitted
- Flashing amber beacon
- Forward collision avoidance system (must be fitted with a warning system which alerts the driver to persons or obstructions within the immediate proximity of the front and or rear of the vehicle, fitting sensors to the rear is optional) to ensure one metre high at one metre distance visibility criteria on dumpers of six tonne and above other devises by job risk assessment
- Reversing alarm to be fitted working and audible
- Locking caps / covers
- Isolation switch with key
- All safety decals in place
- Operating maintenance instructions
- Tow hitch (where permissible) must have correct pin with chain attached to dumper
- Tipping mechanism to be in good condition
- Maximum age six years
- Brake efficiency testing to be carried out e.g. daily user park and service brake and dynamic brake efficiency test at maximum six monthly intervals

Operator
- Pre-use (daily) Inspection signed off
- CPCS card category A09A forward tipping wheeled, A09B – forward tipping tracked
- Cat B car licence for road use (NB: drivers of dumpers over 3.5 tonnes Gross Vehicle Weight (GVW) must be 21 or over)
- Authorisation required prior to driving on public highway by site manager / contractor
- Full induction attended prior to starting work
- Evidence of having signed on to the appropriate risk assessment for the task
- Full site-defined PPE
- Competency assessment prior to being put to work – be familiar with machine
- Seat belt must be worn
  If towing required:
  - Be trained in towing and operational risk assessed
  - Register of persons authorised to tow must be maintained
  - Special care must be taken to weigh towed especially for breaking activities
- Operator must consider stability issues when towing and the operator must refer to operators manual prior to towing
- Do not tip whilst on the move or during high winds
- Engine must be turned off and keys removed before leaving vehicle unattended.

Desirable
- Load measurement device

<table>
<thead>
<tr>
<th>Document Reference</th>
<th>Process Parent</th>
<th>Revision Status</th>
<th>Document Owner</th>
<th>Date</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>PET STD1</td>
<td>PET PRO</td>
<td>Rev 4</td>
<td>Jonathan Hall</td>
<td>Sep 15</td>
<td>12 of 32</td>
</tr>
</tbody>
</table>
Plant and equipment minimum requirements

- Directional white noise reversing warning
- Seat belt operation interlocked with ignition switch / warning indicator
- Complete people exclusion area around plant and operation
- A rule of thumb, 25 per cent of dumper capacity should be placed in a skip / discharge area prior to towing
- Where turntable dumpers are narrow mouth, or high lift equipment, extra training must be given to the operator so that the dumper is used correctly
- A three-way or side-tipping dumper must be three-way complete left or right or forward only. Diagonal tipping should be avoided as non-compliance can result in loss of stability
- Maximum machine age six years of age and compliant to Tier iii B or better engine emissions
- Operator to have passed drug and alcohol test on induction and subject to on-going medical screening / surveillance.

Hazards / risks
Significant hazards / risks identified when operating the machine and for those adjacent to machine:
- Access into cab / refuelling and maintenance
- Danger of crushing
- High risk of overturning at speed and on inclines. Refer to manufacturer’s recommendations
- Consider risk of towed items, such as roller
- Transportation including loading / unloading
- Falls / overturning into excavations
- Plant and personnel interface
- Public interface – working alongside pedestrians / vehicles
- All round visibility / loads restricting driver vision
- Effect of weather on visibility and working / traffic surfaces
- The buckets on side tipping dumpers can protrude significantly when turned to the side, creating a hazard to pedestrians
- Hazardous substances, such as fuels, oils and greases
- Wet materials / clay are prone to stick and cause instability during tipping.

6.2. Articulated dump truck
Hire company to supply operator checklist.
Daily inspection records for plant to be completed. (Omniftag or similar systems must be displayed).
A full induction must be attended prior to starting work and evidence of having signed on to the appropriate risk assessment for the task provided.

Plant
- Pre-use inspection signed off before each use
- Evidence of regular inspection
- ROPS cab structure. Cabs must have a minimum of two points of egress including one for emergency use; these must not be restricted in any way.
- Seat belts must be fitted and operational
- Mirrors to satisfy visibility criteria
  - 360 degree all round visibility aids (cameras system) to be fitted
- Flashing amber beacon
- Reversing alarm to be fitted, working and audible at ten metres
- Articulation lock must be fitted and operational
- Mechanical prop fitted under body
- No tailgates unless specifically requested and subject to risk assessment
- Locking doors with key to all cabs
- All safety labels (decals) in place
- The operators manual must be present with the machine
- Safe access for refuelling, maintenance and to any place where accessories are stored
- Maximum load capacity (payload) to be clearly marked
- Plant exceeding three metres in height must have a notice displayed in a prominent position in the cab showing the overall travelling height of the base machine, its load or equipment
Management System

Plant and equipment minimum requirements

- Green beacon
- Must have a visual and/or audible warning when the body is in the raised position
- Tyres to be suitable for the ground conditions, have sufficient tread and be free from defects.

**Operator**

- Shall be suitably trained and competent
- CPCS
- Ensure machine is operated in accordance with manufactures instructions and in accordance with his/her training
- Shall report all unsafe conditions
- Must have a current relevant driving licence (vocational maybe required under certain conditions)
- Full site-defined PPE required - none loose fitting PPE required in all cases to avoid catching on controls
- Operator to be briefed on and to comply with daily checks and defect reporting system. Report defects and stop machine where defect is safety critical
- Shall stop work if any unauthorized / unsupervised personnel enter their immediate work area
- Competency assessment prior to being put to work – be familiar with machine
- Body is not to be tipped while on the move.
- All site and road speed limits must be briefed and complied with
- Operator to mount and dismount machine using fixed access arrangements and always facing the machine
- Be briefed on the site’s plant and vehicle management procedures and check for overhead obstructions and hazards
- Seat belt must be worn.

7. Cranes and lifting devices

**General**

All plant to be inspected prior to first use and the appropriate pre-start check sheet completed and daily recorded inspections thereafter. Inspection sheet to be available in the cab and ideally visually displayed. Hydraulic cranes only permitted not mechanical.

7.1. Crawler crane minimum requirement plant

- Conformité Européene (CE) certificate
- Current 12 monthly LOLER thorough examination certificate
- Current six monthly LOLER thorough examination certificate for all lifting tackle carried
- Current six monthly LOLER certificate for the crane
- Lifting accessories marked with SWL
- Fitted and operational audible or visual overload warning system
- Operator instructions must be available on the machine
- Flashing amber beacon
- Mirrors / to satisfy one metre high at one metre distance visibility criteria
  - 360 degree all round visibility aids (camera system) to fitted to all crawler cranes / piling rigs (mini rigs excluded
- Reversing alarm to be directional, working and audible at ten metres
- Slew alarms
- Tracks only to be retracted on flat level stable ground
- Door lock keys supplied
- Aircraft warning lights fitted (if working within the vicinity of aerodromes)
- Slew, jib height and radius restrictors if working in the vicinity of overhead power lines, railways, adjacent live traffic, etc.
- Hook block over hoist cut-out facility
- Maximum machine age 15 years
- Boom hoist cut-out facility
- Handrails fitted to running boards and crane upper structure
- Load bearing hydraulic cylinders fitted with check valves
- Fire extinguisher in cab
Plant and equipment minimum requirements

- Crane fitted with anemometer or other device to monitor in service wind speeds
- Independent checks to be completed (Magnor Plant) for all external crawler cranes
- If used adjacent live lanes control measures must be put in place that in the event of operator error the machine / load will be prevented from striking passing traffic e.g. slew restrictors, banks man remote cut out or track lock.

Operator

- Pre-use (daily) inspection signed off
- Lifting plan and permit to lift in place as appropriate
- CPCS card for category of crane
- Full induction attended prior to starting work and passed drug and alcohol test
- Valid medical certificate
- Evidence of familiarisation training for type of crane to be operated
- Evidence of having signed on to the appropriate risk assessment for the task
- Full site-defined PPE required if outside the cab – none loose fitting
- Engine must be turned off if approached by others and keys removed from the cab before leaving the cab unattended for any reason.

Man riding requirements

- Carrier hoisting and lowering ropes minimum diameter of eight millimetre
- Hook fitted with safety catch
- Ability to restrict the working speed of all crane functions to 0.5 metre
- Control levers return to neutral automatically
- SWL of crane configuration in use is at least twice the rated capacity of the carrier
- Power lowering capacity fitted to the crane. If not, free fall capability must be locked out.

Refer to BS7121 -1:2006 for further guidance.

Desirable

- Jib head tracker if working on sites with blind lifting issues
- Counterweight mounted colour CCTV
- Block stand to be provided when reeving rope into hook block whilst block is lying down
- Anemometer
- Window guards
- Audible / visual slew alarm – use of slew restrictors where appropriate
- All round vision system to avoid potential crushing incident
- Operator to have minimum of three years relevant operating experience
- Perimeter edge protection, handrails etc. to body of crane where access required
- Means for operator to monitor hoist rope tension such as camera or mirror
- Red, amber and green high level illumination to indicate crane is operating within safe limits
- Crane supervisor to have hand held anemometer to measure wind speeds
- Operator to have passed drug and alcohol test on induction and subject to on-going medical screening / surveillance
- Seat belt operation interlocked with ignition switch / warning indicator
- Telescopic operator cabs fitted
- Maximum desirable machine age eight years and compliant to Tier iii B, DPF Filters better engine emissions.

Hazards / risks

Significant hazards / risks identified when operating the machine and for those adjacent to machine:

- Access into cab / refuelling and maintenance
- Danger of crushing in slew zone
- Load path – slewing over site personnel
- Overturning if lifting duties exceeded
- Ground conditions capable of withstanding imposed track loadings
- Imposed loadings on underground services
Plant and equipment minimum requirements

- Dropped loads
- Effect of weather visibility and working / traffic surfaces
- Operating in reversing mode
- Plant and personnel interface
- Overhead obstructions – cables / bridges / power lines / telephone lines
- Jib clash with adjacent cranes / tall plant
- Public interface – working alongside pedestrians / vehicles
- Limitations to all round visibility
- Use of jib walkways – falls from height
- Hazardous substances such as fuels, oils and greases
- Potential excessive noise.

7.2. Mobile crane

Minimum requirements

**Plant**
- CE certificate and current test certificate
- Have either a four yearly overload test certificate or a defined written scope of examination scheme supported by a declaration of compliance in line with the maintenance and thorough examination of mobile cranes best practice guide (CIG 1001; June 2010 Maintenance, inspection and thorough examination of mobile cranes)
- Current 12 monthly LOLER thorough examination certificate
- Current six monthly LOLER thorough examination certificate for all lifting accessories carried and marked with SWL
- FOPS to cab where identified by risk assessment
- Fitted and operational audible or visual overload warning system
- Over hoist limit device installed
- Operation manual including load charts to be available with the machine on site
- Mirrors / colour CCTV to satisfy one metre high at one metre distance visibility criteria or crane to be escorted on site by plant marshal
- Maximum vehicle age 15 years
- Safe access for refuelling, maintenance and to any place where accessories are stored
- Flashing amber beacon
- Reversing alarm to be fitted, working and audible at ten metres
- Anemometer (over 50 tonne)
- Door lock keys supplied
- Protective mesh must be in place to side of cab on jib side if window is opening type
- Carrier hoisting and lowering ropes minimum diameter of eight millimetres
- Hook fitted with safety catch
- Aircraft warning lights fitted (if working within the vicinity of aerodromes)
- Slew, jib height and radius restrictors if working in the vicinity of overhead power lines, railways, adjacent live traffic, etc.
- Load bearing hydraulic cylinders fitted with check valves
- Fire extinguisher in cab
- Chassis brakes to be tested under Special Type General Order (STGO) requirements as per CPA TIN 104 requirements for in-service performance testing of the chassis brakes of mobile cranes
- If used adjacent live lanes control measures must be put in place that in the event of operator error the machine will be prevented from striking passing traffic e.g. slew restrictors, banks man remote cut out or similar.

**Man riding requirements**
- Current six monthly LOLER thorough examination certificate for crane and all lifting tackle
- Ability to restrict the working speed of all crane functions to 0.5 metres/second
- Control levers return to neutral automatically when released
- SWL of crane configuration in use is at least twice the rated capacity of the carrier
Management System

Plant and equipment minimum requirements

- Power lowering capacity fitted to the crane. If not, free fall capability must be locked out
- Refer to BS7121 -1:2006 for further guidance.

Operator
- Pre-use (daily) inspection signed off
- Lifting plan and permit to lift in place as appropriate
- CPCS card with category A60C – all duties; A – blocked duty only; B – pick and carry duties only
- Minimum of cat C driving licence when unit over 7.5 tonne GVW
- Valid medical certificate
- Competency assessment prior to being put to work – log book checks
- Evidence of familiarisation training for type of crane to be operated
- Full induction attended prior to starting work and passed drug and alcohol test
- Evidence of having signed on to the appropriate risk assessment for the task
- Compliance with pre-use, and defect reporting system
- Full site-defined PPE required if outside the cab – none loose fitting
- Engine must be turned off if approached by others and keys removed from the cab before leaving the cab unattended for any reason.

Desirable
- Camera to be fitted to cathead to give operator clear view of load when blind lifting
- Fall restraint system fitted to boom for rigging super-lift
- Block stand to be provided when reeving rope into hook block whilst block is lying down
- Operator to have relevant operating experience on type of crane
- Means for operator to monitor hoist rope tension for example camera or mirror
- Red, amber and green high level illumination to indicate crane is operating within safe limits
- Sectional / lightweight or circular outrigger pads if manually handled to reduce risk to personnel
- Operator to have passed drug and alcohol test on induction and subject to on-going medical screening / surveillance
- Seat belt operation interlocked with ignition switch/warning indicator
- Use of slew restrictors where appropriate
- Crane Supervisor to have hand held anemometer to measure wind speeds
- Reversing alarm to be directional and white noise
- Maximum desirable vehicle age eight years and EURO 4 emissions compliant or better
- Fleet Operator Recognition Scheme (FORS) compliant.

Hazards / risks
Significant hazards / risks identified when operating the machine and for those adjacent to machine:
- Access into cab / refuelling and maintenance
- Danger of crushing in slew zone
- Load path – slewing over site personnel
- Overturning if lifting duties exceeded
- Imposed loadings on underground services
- Dropped loads
- Effect of weather visibility and working / traffic surfaces
- Reversing manoeuvres
- Plant and personnel interface
- Overhead obstructions – cables / bridges / power lines / telephone lines
- Jib clash with adjacent cranes / tall plant
- Public interface – working alongside pedestrians / vehicles
- Limitations to all round visibility
- Outrigger pads can be very heavy and awkward shapes
- Hazardous substances such as fuels, oils and greases.

7.3. Telehandler
Minimum requirement

Plant
- Evidence of regular inspection plus next service due date (all hire companies to supply operator pre use inspection checklist PPM)
- FOPS to cab
- Fitted and operational audible or visual overload warning system
- Maximum vehicle age five years
- Seat belts must be fitted
- Flashing amber beacon
- Reversing alarm to be fitted working and audible at ten metre
- All round mirrors / 360 degree all round visibility aids (camera system) to be fitted
- All machines to be restricted to ten mph (miles per hour) in forward gears
- All safety labels (decals) in place
- On public highway – taxed, registered and insured
- Current 12 monthly LOLER thorough examination certificate (six monthly required if machine being used in conjunction with man-riding basket)
- Current six monthly LOLER certification for all on-board lifting tackle and/or fork attachments
- Lifting duty chart to be displayed on boom or in cab
- If lifting from forks (under slinging) proper attachments must be fixed with heel pins (not T screws) and have specific lift plan
- Mechanical prop fitted under boom for maintenance purposes
- Forks to be folded / secured if travelling on public highway
- Protective mesh to be in place on jib side of cab if window is of opening type
- No materials including lifting tackle to be stored beneath the boom
- Boom ram lock facility must be provided with the machine
- Only fully integrated man-riding baskets are permitted when supported by a task specific risk assessment.
- Brake efficiency testing to be carried out e.g. daily user park and service brake and dynamic brake efficiency test at maximum six monthly intervals.

Operator
- Pre-use (daily) inspection signed off
- CPCS card category A17
- Must have current relevant drivers licence (category B) if driving on public highway
- Authorisation required prior to driving on public highway
- Check for ground conditions prior to lifting and must consider stabilisers where appropriate
- Competent to check Rated Capacity Indicator (RCI)
- Machine levelling devices must be used in all cases if fitted
- Operator must ensure the boom is carried at a safe level at all times in accordance with the manufacturer’s recommendations
- Full induction attended prior to starting work
- Evidence of having signed on to the appropriate risk assessment / lift plan for the task
- Full site-defined PPE
- Compliance with pre-use, daily and weekly defect reporting system
- Competency assessment prior to being put to work – be familiar with machine and telehandler attachments
- Seat belt must be worn
- Training in lifting suspended loads.

Desirable
- Tracker unit – immobilising method independent of factory fitted locks, locking caps / covers to fuel and all other tanks
- Complete people exclusion area around plant and operation
- Inclinometer gauge and/or decal advising of gradient limits for safe operation
- Boom angle indicator
- Tyre pressure indicators
- Wheel nut indicators
Management System

Plant and equipment minimum requirements

- Maintain a list of weights of potential items to be lifted
- ROPS particularly with all-terrain models
- Operator to have passed drug and alcohol test on induction and subject to on-going medical screening / surveillance
- Maximum desirable vehicle age six years and compliant to EURO 4 or better engine emissions
- Seat belt operation interlocked with ignition switch / warning indicator.

Hazards / risks
Significant hazards / risks identified when operating the machine and for those adjacent to machine:
- Access into cab / refuelling and maintenance
- Overturning if lifting duties are exceeded or use on uneven ground
- Effect of weather visibility and working / traffic surfaces
- Clothes can get snagged on controls prior to release of servo isolator / safety handle
- Plant and personnel interface
- Overhead obstructions – cables / bridges
- Public interface – working alongside pedestrians / vehicles
- Limitations to all round visibility
- Transportation including loading / unloading
- Hazardous substances such as fuels, oils and greases
- Lifting and carrying suspended loads.

7.4. Lorry loader crane minimum requirement vehicle requirements
- Operator ‘O’ licence displayed in the cab windscreen
- Current MOT certificate
- Compliance with road vehicles (construction and use) regulations (spray suppression, under run guards, lighting, markers etc.)
- Amber hazard beacons and highway / motorway maintenance’ decal
- Operator’s instructions must be available on the machine
- Safe access to all areas where operator or slinger / signaller required to work
- Reversing alarm to be fitted, white noise and audible at ten metres
- Fitted with all round vision aids to satisfy one metre high at one metre distance
- Edge protection or fall arrest arrangements where access to vehicle body required
- Audible and visual warning to be fitted in the cab to remind driver / operator including the hydraulic arm fitted, is not safety stowed prior to travelling
- Maximum machine age 15 years.

Crane requirements
- Current 12 monthly LOLER thorough examination certificate
- Have either a four yearly overload test certificate or thorough examination in line with Association of Lorry Loaders Manufacturers and Importers (ALLMI) guidance note 010 and Construction Plant Association (CPA)
  - ALLMI management of lifting operations with lorry loaders best practice guide June 2010
- Current six monthly LOLER certification for all on-board lifting tackle and accessories
- Accessories to be marked with safe working loads
- Crane duties chart displayed on boom or at operator’s station
- Functioning audible warning devices – safe load indicator / rated capacity indicator / device with hydraulic lock-out / warning light / alarm
- If used adjacent live lanes control measures must be put in place that in the event of operator error the machine / load will be prevented from striking passing traffic e.g. slew restrictors, banks man remote cut out.

Driver / operator
- Pre-use (daily) inspection signed off
- Minimum of Cat C drivers licence when unit is over 7.5 tonne GVW
- CPCs card category A36 with endorsement for A – hook; B – clamshell C – winch
- Road Transport Industry Training Board (RTITB) and ALLMI lorry loader operator certification is also acceptable
Plant and equipment minimum requirements

- Full induction attended prior to starting work
- Competency assessment prior to being put to work – be familiar with machine
- Evidence of having signed on to the appropriate risk assessment for the task
- Full site-defined PPE required if outside the cab – non loose fitting.

Desirable
- Outrigger lock-out preventing crane operation with legs in stowed position
- Stabiliser legs not stowed warning device
- Maximum desirable machine age eight years and compliant to EURO 4/5 or better engine emissions
- FORS compliant
- Sensor de-rate system if crane is used short-rigged
- Traffic cones or other means of maintaining safe zones and avoiding pedestrian traffic near lifting
- Driver/operator to have passed drug and alcohol test on induction and subject to on-going medical screening/
surveillance
- Seat belt operation interlocked with ignition switch / warning indicator
- Use of slew restrictors where appropriate.
- Hazards / risks
  - Significant hazards / risks identified when operating the machine and for those adjacent to machine:
    - Access into cab / refuelling and maintenance
    - Load path – slewing over site personnel
    - Overturning if lifting duties exceeded
    - Ground conditions capable of withstanding imposed track loadings
    - Imposed loadings on underground services
    - Dropped loads / instability of load
    - Plant and personnel interface
    - Overhead obstructions – cables / bridges / power lines / telephone lines
    - Jib clash with adjacent cranes / tall plant
    - Public interface – working alongside pedestrians / vehicles
    - Effect of weather visibility and working / traffic surfaces
    - Limitations to all round visibility
    - Hazardous substances such as fuels, oils and greases.

All plant to be inspected prior to first use and the appropriate pre-start check sheet completed and daily recorded inspections thereafter.

Minimum requirement
Plant - all MEWP’s
- Pre-use inspection signed off
- Six monthly LOLER thorough examination certificate
- Operation manual supplied
- Power failure safe lowering system
- When MEWP’s are used in situations involving a risk of crushing against overhead structures or equipment, specific control measures must be implemented and the use of anti-trapping / secondary guarding devices must be used. Movement alarm to be fitted, working and audible
- SWL displayed in platform
- Outrigger / wheel loading details
- Controls must be shrouded and be fitted with anti-crush protection measures
- Completed operator daily / weekly checklist
- Door lock keys in cabs of lorry mounted booms
- Direction of travel must be clearly indicated
- Maximum machine age ten years
- If used adjacent live lanes control measures must be put in place that in the event of operator error the machine will be prevented from striking passing traffic e.g. slew restrictors or banks man remote cut out
Management System

Plant and equipment minimum requirements

- When working on highways all MEWP’s must display full chapter eight livery.

**Boom type MEWP’s only**
- Flashing amber beacon
- Harness anchorage points in boom platform.

**Operator**
- Daily inspection signed off
- CPCS card with category of MEWP to be operated; A25 – scissor; A26 – boom; A27 – mast climber, or
- IPAF certification (1a – static vertical; 1b – static boom; 3a – mobile vertical; 3b – mobile boom)
- Trained in use of harness as appropriate
- Full induction attended prior to starting work and passed drug and alcohol test
- Evidence of having signed on to the appropriate risk assessment for the task
- Full site-defined PPE required if outside the cab – none lose fitting
- Compliance with pre-use, daily and weekly defect reporting system
- Competency assessment by site supervisor prior to being put to work
- Evidence of familiarisation training for the particular type of MEWP to be operated, including emergency recovery from the ground
- Use of harness – harness to be worn in boom type MEWP or in the scissor lift if travelling and to be appropriate for specific use
- Be briefed on the site plant and vehicle management procedures and check for overhead obstructions and hazards.

**Desirable**
- MEWP’s to have 110 volt supply available in basket pre-wired
- Wheel nut indicators fitted
- Tilt alarm fitted
- Fire suppression in engine compartment if working in a zone of fire risk or if emergency basket to basket evacuation procedures not practical
- Fish eye mirrors for all round vision when travelling
- Maximum desirable machine age six years and compliant to Tier iii B or better engine emissions
- Audible alarm for lowering and for entrapment of operator
- Toolbox equipment storage in basket
- Operator to have passed drug and alcohol test on induction and subject to on-going medical screening / surveillance.

**Scissor type MEWP’s only**
- Flashing beacon on scissor lift
- Harness anchorage point in scissor lift (mandatory if it is to be used whilst travelling).

**Boom type MEWP’s only**
- Audible parking position of the boom in air should not be permitted.

**Hazards / risks**
Significant hazards / risks identified when operating the machine and for those adjacent to machine:
- Ground conditions / underground services – imposed wheel / outrigger loadings
- Falls from height
- Access into cab / refuelling and maintenance
- Danger of crushing in slew zone
- Operator recovery from an incapacitated machine
- Overturning if lifting duties exceeded
- Ground conditions capable of withstanding imposed track loadings
- Uneven ground conditions and driving up ramps during travelling can create a risk of crush / impact injury
- Handling materials on MEWP platforms can overload machinery or be at risk of falling
- Effect of weather visibility and working / traffic surfaces
Plant and equipment minimum requirements

- Potential for clashes with other plant working in the vicinity
- Stability when travelling machine with platform raised
- Clearances between buildings / other platforms
- High wind speed
- Operating in reversing mode
- Plant and personnel interface
- Overhead obstructions – cables / bridges / power lines / telephone lines
- Public interface – working alongside pedestrians / vehicles
- Limitations to all round visibility
- Hazardous substances such as fuels, oils and greases.

9. Road sweeper / collector

General
All plant to be inspected prior to first use and the appropriate pre-start check sheet completed and daily recorded inspections thereafter. Inspection sheet to be available in the cab and ideally visually displayed.

Minimum requirement

Vehicle
- Current MOT plating certificate
- Chapter eight compliant beacons and reflective chevrons
- Mirrors / colour CCTV to satisfy one metre high at one metre distance visibility criteria
- Reversing alarm to be fitted, working and audible at ten metre
- On public highway – taxed and registered
- Maximum vehicle age 15 years
- Evidence of regular inspection
- All safety labels (decals) in place
- All current certificate / service documents
- Dual gulley brush and wide main brush
- Gulley sucker and hand lance
- Copy of operator’s instructions must be available on the machine
- Statement of hours to next service
- Safe and clean access to cab
- Safe and clean access for refuelling
- Copy of manufacturer’s operating instructions must be available
- Reversing camera
- Minimum of Cat C drivers licence when unit is over 7.5 tonne GVW
- Full induction attended prior to starting work
- Evidence of having signed on to the appropriate risk assessment for the task
- Demonstrable training and experience in operation of sweeper / collector
- Full site-defined PPE required
- Compliance with pre-use daily and weekly defect reporting system
- Competency assessment prior to being put to work – be familiar with machine
- Operator to be Traffic Management (TM) trained in mobile works
- Authorisation required to drive sweeper on public highway.

Desirable
- Reversing siren to be directional and white noise
- Tracker unit, isolation method independent of factory fitted locks, locking caps/cover to fuel and all other tanks
- Base colour to be conspicuous as per chapter eight requirements
- Operator to be competency assessed in dealing with spills and environmental protection
- Maximum desirable vehicle age eight years and compliant to EURO 4 or better engine emissions
- Driver / operator to have passed drug and alcohol test on induction and subject to on-going medical screening / surveillance

<table>
<thead>
<tr>
<th>Document Reference</th>
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<td>Rev 4</td>
<td>Jonathan Hall</td>
<td>Sep 15</td>
<td>22 of 32</td>
</tr>
</tbody>
</table>
Plant and equipment minimum requirements

- Seat belt operation interlocked with ignition switch / warning indicator
- FORS compliant.

10. Compressors / air systems

**General**
All plant to be inspected prior to first use and the appropriate pre-start check sheet completed and daily recorded inspections thereafter.

**Minimum requirement**

**Plant**
- Unique ID number to be displayed on plate including Vehicle Identification Number (VIN)
- Noise emissions label incompliance with OND 2000/14/EC
- Evidence of regular inspection and maintenance
- All safety labels (decals) in place
- All current pressure systems regulation certificates in place
- Canopy to have integral fuel bunding for environmental protection
- Whip checks to be provided between hose and compressor and hose and tools
- Copy of manufacturer’s operating instructions
- 12 weekly inspection on running gear
- Maximum machine age 15 years.

**Operator**
- Full induction attended prior to starting work
- Evidence of having signed on to the appropriate risk assessment
- Full site-defined PPE required
- Demonstrable training and experience in operation of compressor / air system
- Compliance with pre-use, daily / weekly defect reporting system
- Competency assessment prior to being put to work – be familiar with machine and HAVS monitoring requirements
- Tracker unit, isolation method independent of factory fitted locks, locking caps / covers to fuel and other tanks
- Statement of hours to next service
- Security hitch lock
- Maximum machine age eight years of age and compliant to Tier iii B or better engine emissions.

**Hazards / risks**
Significant hazards / risks identified when operating the machine and for those adjacent to machine:
- Towing and lifting operations
- Access for refuelling and maintenance
- Overturning
- Loading / unloading onto transport
- Flying debris
- Trailng hoses
- Manual handling when moving compressor and when using of heavy attachments
- Open hoses when airline switched on
- Noise and vibration
- Plant and personnel interface
- Public interface – working alongside pedestrians / vehicles
- Hazardous substances e.g. fuels, oils and greases
- Damage to jockey wheel due to misuse / lack of proper maintenance.

11. Rollers

**General**
All plant to be inspected prior to first use and the appropriate pre-start check sheet completed and daily recorded inspections thereafter. Inspection sheet to be available in the cab and ideally visually displayed.

11.1. **Ride on compaction roller minimum requirements plant**
- Compliant with C and U regulations (construction and use regulations) if being used for occasional highway use (lights, registration plates front and rear)
- Maximum age of plant 15 years
- Chapter 8 compliant beacons and reflective chevrons
- Reversing alarm to be fitted, working and audible at ten metres
- 360 degree all round visibility aids (camera system) to be fitted to all cabbed compaction Rollers only.
- Mirrors to satisfy one metre high at one metre distance visibility criteria
- Pre-use inspection signed off
- Evidence of regular inspection plus next service due date
- All safety labels (decals) in place
- Brake efficiency testing to be carried out e.g. daily user park and service brake and dynamic brake efficiency test at maximum six monthly intervals
- Small machines – ROPS
- Large machines – ROPS and FOPS
- Seat belts must be fitted
- Locking caps and covers
- Isolation switch with key
- Under seat starter / isolator pressure switch
- All current certificate / service documents
- Copy of operator’s instructions must be available on the machine
- A clear safety zone around the operating areas
- Safe and clean access to cab
- Safe and clean access for refueling.

**Driver / operator**
- Pre-use (daily) inspection signed off
- CPCS card category A31
- Operator must have a current driving license (Category B) if driving on the public highway
- Authorisation required to drive roller on public highway
- Full induction attended prior to starting work
- Evidence of having signed on to the appropriate risk assessment for the task
- Full site-defined PPE required
- Compliance with pre-use daily and weekly defect reporting system
- Operator to mount and dismount machine using fixed access arrangements and always facing the machine
- Competency assessment prior to being put to work – be familiar with machine
- Seat belt must be worn.

**Desirable**
- Tracker unit, isolation method independent of factory fitted locks, locking caps / covers to fuel and all other tanks
- Base colour to be conspicuous as per Highways Agency Chapter 8 requirements
- Driver / operator to have passed drug and alcohol test on induction and subject to on-going medical screening / surveillance
- Whole body vibration monitoring
- Desirable maximum age of plant eight years with engine emissions to Tier iii B or better
- Seat belt operation interlocked with ignition switch / warning indicator.

**Hazards / risks**
Significant hazards / risks identified when operating the machine and for those adjacent to machine:
- Access into cab / refueling and maintenance
- Serious risk of overturning on inclines or when working on edges
Plant and equipment minimum requirements

- Plant and personnel interface
- Effect on adjacent excavations, buried services or structures from vibration
- Noise and vibration
- Public interface – working alongside pedestrian’s vehicles / plant crossings.

12. Dozers

12.1. Tracked dozer

**Minimum requirements**

**Plant**
- Reversing alarm to be fitted, working and audible at ten metres
- Maximum age of plant 15 years
- Mirrors / to satisfy one metre high at one metre distance visibility criteria
  - 360 degree all round visibility aids (camera system) to be fitted
- Flashing amber beacon
- ROPS to cab
- Seat belts must be fitted
- Evidence of regular inspection plus next service due date
- All safety labels (decals) in place
- If winch fitted – associated lifting gear to have six monthly thorough examination record
- Safe and clean access to cab
- Safe and clean access for refuelling
- Pre-use (daily) inspection signed off
- CPCS card category A34
- Full induction attended prior to starting work
- Evidence of having signed on to the appropriate risk assessment for the task
- Full site-defined PPE required – non loose fitting
- Compliance with pre-use daily and weekly defect reporting system
- Competency assessment prior to being put to work – be familiar with machine
- Do not break ground unless briefed on, received and fully understood a permit to work
- Seat belt must be worn – green indicator light fitted
- Ensure door is closed when machine is operating.

**Desirable**
- Complete exclusion zone around plant and operation
- Reversing alarm to be directional and ‘white noise’
- Tracker unit, isolation method independent of factory fitted locks, locking caps / covers to fuel and all other tanks
- Desirable maximum age of plant eight years with engine emissions to Tier iii B or better
- Track adjustment particularly slackening by competent personnel only with appropriate tools and PPE
- Two way radio system to communicate with operator
- Coloured card system for operator to acknowledge presence of other persons or vehicles
- FOPS to cab where risk of falling debris
- Operator to have passed drug and alcohol test on induction and subject to on-going medical screening / surveillance
- Seat belt operation interlocked with ignition switch / warning indicator
- Proximity detection system
- Global Positioning System (GPS) control system for blades as this will reduce the need for persons on the ground for surveying
- GPS control system to be installed at ground level to avoid working at height.

**Hazards / risks**

Significant hazards / risks identified when operating the machine and for those adjacent to machine:
- Access into cab / refuelling and maintenance

<table>
<thead>
<tr>
<th>Document Reference</th>
<th>Process Parent</th>
<th>Revision Status</th>
<th>Document Owner</th>
<th>Date</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>PET STD1</td>
<td>PET PRO</td>
<td>Rev 4</td>
<td>Jonathan Hall</td>
<td>Sep 15</td>
<td>25 of 32</td>
</tr>
</tbody>
</table>
Plant and equipment minimum requirements

- Serious risk of overturning on inclines or when working on edges
- Plant and personnel interface
- Risks of towed items e.g. roller
- Speed of machine movement – proximity of plant marshal
- Clothes snagged on controls prior to release of servo isolator / safety handle
- Public interface – working alongside pedestrians vehicles / plant crossings
- Effect of weather visibility and working / traffic surfaces
- Reversing movements
- Underground services
- Limitations to all round visibility
- Transportation including loading / offloading
- Hazardous substances e.g. fuels, oils and greases
- Falls from height when erecting GPS masts.

12.2. Wheeled loading shovel minimum requirements plant

- Current 12 month LOLER thorough examination
- Current six month LOLER examination of all on-board lifting equipment or handling attachments
- Lifting duty chart displayed on boom or in cab
- Maximum age of plant 15 years
- White reversing light and alarm to be fitted, working and audible at ten metre
- For use on public highway must be taxed, registered and insured
- ROPS and FOPS to cab where detailed by risk assessment
- Flashing amber beacon
- Operating / maintenance instructions must be available on the machine
- Machine lifting points / tie down points must be clearly labelled and be in good condition
- Evidence of regular inspection plus next service due date
- All safety labels (decals) in place
- Seat belts must be fitted
- Locking caps and covers
- Power isolation switch with key
- All round mirrors to satisfy one metre high at one metre distance visibility criteria
  - 360 degree all round visibility aids (camera system) to be fitted to all machines
- Safe and clean access to cab
- Safe and clean access for refueling and maintenance
- Articulation lock must be fitted and operational
- Brake efficiency testing to be carried out e.g. daily user park and service brake and dynamic brake efficiency test at maximum six monthly intervals
- Ensure door is closed when machine is operating.

Driver / operator

- Pre-use (daily) inspection signed off
- CPCS card category A21 together with appropriate endorsement
- If driving on the public highway, operator must have current driving licence (category B) and be a minimum of 18 years of age
- Authorisation to take plant onto public highway
- Full induction attended prior to starting work
- Evidence of having signed on to the appropriate risk assessment for the task
- Full site-defined PPE required – non-loose fitting
- Compliance with pre-use daily and weekly defect reporting system
- Competency assessment prior to being put to work – be familiar with machine
- All site speed limits must be briefed and complied with
- Do not break ground unless briefed on, received and fully understood a permit to work
- Seat belt must be worn.

Desirable

<table>
<thead>
<tr>
<th>Document Reference</th>
<th>Process Parent</th>
<th>Revision Status</th>
<th>Document Owner</th>
<th>Date</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>PET STD1</td>
<td>PET PRO</td>
<td>Rev 4</td>
<td>Jonathan Hall</td>
<td>Sep 15</td>
<td>26 of 32</td>
</tr>
</tbody>
</table>
• Operator to have passed drug and alcohol test on induction and subject to on-going medical screening / surveillance
• Seat belt operation interlocked with ignition switch / warning indicator
• Proximity detection system
• Desirable maximum age of plant eight years with engine emissions to Tier iii B or better
• Automatic braking systems which stop the machine if an obstruction is detected.

Hazards / risks
Significant hazards / risks identified when operating the machine and for those adjacent to machine:
• Access into cab / refuelling and maintenance
• Operator falling from machine when alighting or dismounting machine
• Serious risk of overturning on inclines, soft or uneven surfaces
• Plant and personnel interface
• Restricted visibility on larger machines or if travelling with bucket raised too high
• Trapping fingers / hand in door due to accidental closure
• Public interface – working alongside pedestrians vehicles / plant crossings
• Effect of weather visibility and working / traffic surfaces
• Reversing movements
• Hazardous substances e.g. fuels, oils and greases.

13. Mixer truck

General
All plant to be inspected prior to first use and the appropriate pre-start check sheet completed and daily recorded inspections thereafter. Inspection sheet to be available in the cab and ideally visually displayed.

Minimum requirement
Vehicle requirements
• Current operator ‘O’ licence displayed in the cab windscreen.
• Current MOT plating certificate
• Mirrors / CCTV to satisfy one metre high at one metre distance visibility criteria
• Reversing alarm to be fitted, working and audible at ten metre
• On public highway, taxed and registered and fully compliant with road vehicles (construction and use regulations)
• Evidence of regular vehicle inspection
• All current certificates / service documents provided
• All safety labels (decals) in place
• Full handrail protection to platform and tank
• Copy of manufacturer’s operating instructions must be available
• Maximum vehicle age ten years.

Driver / operator
• Pre-use (daily) inspection signed off
• Minimum of Cat C drivers licence when unit is over 7.5 tonne GVW
• Full induction attended prior to starting work
• Evidence of having signed on to the appropriate risk assessment for the task
• Demonstrable training and experience in operation of mixer truck
• Full site-defined PPE required, hand and skin dermatitis protection / monitoring
• Compliance with pre-use daily and weekly defect reporting system

Desirable
• Reversing alarm to be directional and white noise
• Isolation method independent of factory fitted locks
• Locking caps / covers to fuel and all other tanks
• Statement on hours to next service
Management System

Plant and equipment minimum requirements

- FORS compliant
- Safe access to all areas where the operator is required to work including full handrail protection etc.
- Operator to be competency assessed in dealing with spills and environmental protection
- Driver / operator to have passed drug and alcohol test on induction and subject to on-going medical screening / surveillance
- Seat belt operation interlocked with ignition switch/warning indicator
- Maximum desirable vehicle age six years and compliant to EURO 4 or better engine emissions.

Hazards / risks
Significant hazards / risks identified when operating the machine and for those adjacent to machine:
- Access into cab / refuelling and maintenance
- Danger of moving parts – rotating drum
- Danger of spills when filling or discharging or driving up steep inclines
- Tripping over hoses on ground or slipping on spills
- Number of climbing operations in to and out of the cab and when inspecting drum contents
- Imposed loadings on underground services
- Plant and personnel interface
- Hazard from concrete washout discharging
- Noise and visibility
- Proximity to concrete skips being lifted
- Work at height – access on to top of tank / body
- Public interface – working alongside pedestrians vehicles / plant crossings
- Effect of weather visibility and working / traffic surfaces
- Limitations to all round visibility
- Hazardous substances for example fuels, oils and greases.

14. Vacuum/Suction Excavator (lorry mounted 26 tonne and 32 tonne machines)

General
Utilising the no-dig suction excavation method for material removal, extraction and excavation enable individuals to work across a huge array of projects from huge construction sites to one off residential projects.

The benefits of using this high powered suction method allows underground services to be exposed, without damage to cables and most importantly, the operative. Vacuum / suction excavators are ideal for the extraction and removal of wet and dry materials (e.g. water, clay, soil, stones, dust and ballast) via vacuum into a debris tank, which immediately removes any unsightly debris from the working site. Suction excavation also helps reduce the amount of additional equipment / vehicles used to undertake the activity.

Minimum requirement
Vehicle / plant requirements
- Pre-use inspection signed off
- 10-Weekly VOSA inspection
- Annual truck MOT
- Seat belts must be fitted
- Machine and accessories safety labels (decals) must be in place
- Remote control unit must be clearly labeled (all controls)
- Amber hazard beacons
- Stabilisers must be fully extended before any tipping operation commences
- Operator's instruction must be available on the machine
- Operating instructions must always be followed for both machine start up and shut down procedures
- Safe access to all areas where the operator and banksman are required to work
- Edge protection or fall restraint arrangements where access to vehicle body is required
- 250mm / 280mm non-conductive nozzles must be used in preference to metal nozzles
- Castled (sometimes described as serrated) design of nozzles is to ensure air flow is maintained when placed against the ground; it is not to be used to cut the earth when extracting soil
- Only non-conductive and / or insulated hand held tools and air lances which incorporate 'dead man trigger

<table>
<thead>
<tr>
<th>Document Reference</th>
<th>Process Parent</th>
<th>Revision Status</th>
<th>Document Owner</th>
<th>Date</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>PET STD1</td>
<td>PET PRO</td>
<td>Rev 4</td>
<td>Jonathan Hall</td>
<td>Sep 15</td>
<td>28 of 32</td>
</tr>
</tbody>
</table>
Management System

Plant and equipment minimum requirements

- ‘Compressor hoses must be fitted with whip check cables
- Ground piercing accessories should not be used unless agreed with site before work commences and should always be subject to risk assessment
- FORS / CLOCS requirements include; side guards, rear under run protection, Class VI (6) mirrors, drugs and alcohol decal warning, driver check decal warning, seat belt decal warning, cyclist decal warning (Near Side Rear (NSR), blind spot), close proximity warning (sensors) audible at ten meters and in cab, visibility aids (rear and side view camera and / or Fresnel lens fitted), Driver License Checks (DVLA) and recorded accredited driving training (CPC, inclusive of vulnerable road users section).

**Driver / operator**

- Pre-use (daily) inspection signed off
- Site specific risk assessment must be undertaken by operator before the commencement of works
- Golden Rule – Stop for Safety, and report to site supervisor
- Lone working is not permitted
- Ensure a ‘second man’ has been briefed and is aware of the location of all emergency stop buttons
- Trial holes must be agreed with the customer and are subject to risk assessment
- Never work below the boom of the arm
- HGV / LGV Cat C (Class 2) license as a minimum
- CSCS / EUSR skill card (TT-UK Suction Excavation category) or equivalent
- Compliance with pre-use, daily and weekly defect reporting system
- Training in use of air-ex
- Reman in control of the remote control unit at all times
- Work from a position of safety at all times
- Undergo a recorded annual operator assessment
- Site-defined and task specific PPE required if outside the cab – none loose fitting
- Full face protection (safety goggles may be worn by risk assessment)
- Hearing protection
- Spoil shall be tipped to agreed area
- Novice operator with no industry or machine experience must have undergone no less than 35 hours targeted training with a vacuum excavator
- Novice operator with industry experience but no machine experience must have undergone no less than 28 hours targeted training with a vacuum extraction system
- Operator with unrelated machine experience must have undergone no less than 14 hours targeted training with a vacuum extraction system
- Operator with similar (vacuum / suction excavator) machine experience must have undergone no less than seven hours targeted training with a vacuum extraction system.

**Desirable**

- All operators to be accredited with CITB / CPCS category ‘Vacuum Excavator – A78’ once the course has been finalised and agreed with the CITB (Construction Plant Competence Scheme)
- Driver operator to have passed safety critical worker medical.

**Hazards / risks**

Significant hazards / risks identified when operating the machine and for those adjacent to machine:

- Poor communication – communication protocols must be agreed with other team members prior to any work commencing
- Emergency procedures – emergency arrangements and procedures shall be communicated to a ‘second man’ by the operator. These procedures must be adhered to and practiced before work commences
- Remote control operation – sufficient length of the umbilical cord when operating with a pendant control unit to allow operations from a safe place. Isolating of all operating controls when using a remote unit, when carrying out other functions or during rest periods
- Plant and personnel interface - no maneuvering or reversing to commence unless authorised by a nominated vehicle marshaller in defined areas. Physical barriers that contains full operating radius or reach of boom and/or hose nozzles and prevents unauthorised access to the working area. Prevention of working directly beneath the boom and/or hose nozzle

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**Document Reference Process Parent Revision Status Document Owner Date Page**

| PET STD1 | PET PRO | Rev 4 | Jonathan Hall | Sep 15 | 29 of 32 |
Plant and equipment minimum requirements

- Public interface – area to be fenced off and screened as necessary to protect public and others from noise, dust and flying particles
- Entry to exclusion zones – procedures to stop all works, move components / hose to a safe position and machine isolated when operator is approached by others. Protection of open excavations to prevent slips, trips and falls
- Underground services – procedures and hierarchy procedures for the identification and location of underground services. Ground piercing accessories shall not be used unless agreed with site before work commences and should always be subject to risk assessment
- Effects of ground conditions – compressed air or water can be used to agitate and displace porous and semi porous ground conditions. If the ground is parched and solid, water should be introduced and allowed to soak, air-ex can then be used.
Appendix 1 – Plant safe zones

The following diagrams give an understanding of the safe zones applicable to a range of plant machinery likely to be used on site.

<table>
<thead>
<tr>
<th>Zone 1</th>
<th>Zone 2</th>
</tr>
</thead>
<tbody>
<tr>
<td>Always signal the plant operator and receive a positive response before entering Zone 1</td>
<td>Keep out of at all times</td>
</tr>
</tbody>
</table>

Anyone approaching plant must make eye contact with the operator and wait until signalled.

Operators are to ensure that any safety levers are activated and machines switched off before people approach.

180° Excavator/loader (JCB3x etc.)

Forward tipping dumper

360° tracked excavator
Plant and equipment minimum requirements

360° wheeled excavator

Telehandler